

On scattering of higher spins in flat space

Arkady Tseytlin

D. Ponomarev and AT [arXiv:1603.06273](https://arxiv.org/abs/1603.06273)

R. Roiban and AT [arXiv:1701.05773](https://arxiv.org/abs/1701.05773)

cf. talks of D. Ponomarev and M. Taronna

Motivation:

- understand properties of theories with infinite number of states:
e.g. consistent massless higher spin theory in AdS (vector dual)
or tensionless limit of string theory in AdS (adjoint dual)

- HS theory in AdS is complicated:
action? locality? consider some limit / simpler case

- HS theory in flat-space ... no-go theorems ...
such theory may exist if relax locality condition?
hidden symmetries?
trivial or nearly S-matrix?

Summary:

- construction of quartic HS interaction vertices for single tower of massless even spins $s = 0, 2, 4, \dots$ using Lorentz-covariant S-matrix approach
- $000s$: minimal choice of 4-vertices required to make amplitudes on-shell gauge invariant local for $s = 2, 4$ only
- locality may be restored by extending set of fields: extra tower of (ghost-like) spins $s > 0$ with specific couplings
- indications that extended local action has trivial S-matrix in agreement with soft limit constraints on S-matrix from gauge invariance under assumption of locality
- underlying global symmetry of flat-space HS theory? analogy with conformal extension of Einstein theory: invariance under conformal HS algebra \rightarrow trivial S-matrix? contact terms may still be allowed? their interpretation? AdS ?

Plan:

- scattering via massless HS exchanges:
0000 and 000s amplitudes
- constraints from gauge invariance of S-matrix in soft limit
- S-matrix approach to construction of gauge-invariant action:
non-local 000s 4-vertices
- resolving non-locality by introducing extra tower of states
- conformal off-shell extension:
Einstein theory and possible HS generalization

Massless higher spins in flat 4d space

- free theory: symmetric double-traceless rank s tensors

$$S = \int d^4x \partial^n \phi^{m_1 \dots m_s} \partial_n \phi_{m_1 \dots m_s} + \dots$$

$$\delta \phi_{m_1 \dots m_s} = \partial_{(m_1} \epsilon_{m_2 \dots m_s)}, \quad s = 0, 1, 2, \dots$$

- cubic interactions with linearized gauge invariance known
- quartic interactions? consistent interacting theory?
- various $s > 2$ “no-go theorems”

e.g. no minimal interactions – no long-range forces

[Weinberg; Cachazo, Benincasa ,...; Bekaert, Boulanger, Sundell 10]

- assumptions? locality of quartic and higher interactions
- demand gauge invariance: which type of non-locality required?
- resolve non-locality introducing new fields?
- then resulting S-matrix is trivial? underlying symmetries?

Why of interest?

- tensionless limit of string theory in flat space?

degenerate ... but well-defined in AdS:

“leading Regge trajectory” – massless tower of higher spins

- massless HS theory in AdS
 - consistent non-linear equations known [Vasiliev]

but complicated, many auxiliary fields, so far no action

- action for physical Fronsdal fields

can be reconstructed in principle using AdS/CFT:

match correlators of boundary CFT

[Bekaert, Erdmenger, Ponomarev, Sleight 15; Taronna, Sleight 16,17]

- cubic vertices known; quartic are complicated

issue of locality is subtle / unclear – kernels $f(a \partial)$, $\Lambda = 1/a^2$

- flat-space limit of AdS HS theory?

non-local theory for HS tower $s = 0, 1, 2, \dots$?

- consistent theory requires
 - infinite tower of spins $s = 0, 1, 2, 3, \dots, \infty$
 - higher derivative (non-minimal) cubic interactions $(s_1 \leq s_2 \leq s_3)$

$$\partial^n \phi_{s_1} \phi_{s_2} \phi_{s_3}, \quad s_2 + s_3 - s_1 \leq n \leq s_2 + s_3 + s_1$$

e.g. l.c. 2-2-2 vertex – $\partial^2, \partial^4, \partial^6$ and 2-3-3 vertex – $\partial^4, \dots, \partial^6$

[light-cone: Bengtsson, Bengtsson, Brink; Metsaev;

covariant: Fotopoulos, Tsulaia; Boulanger, Leclerc, Sundell;

Manvelyan, Mkrtchyan, Ruhl; Sagnotti, Taronna, ...]

- Noether procedure: deform $\delta\phi_s = \partial\epsilon_{s-1} + \dots$, add 4-vertex,...
- 3-point coupling constants [Metsaev]

$$c_{s_1 s_2 s_3} = g \frac{\ell^{s_1 + s_2 + s_3 - 1}}{(s_1 + s_2 + s_3 - 1)!}$$

- two constants (cf. string th.): g and $\ell = \text{length}$

general structure of action:

$$\frac{1}{g^2} \int d^4x \left[\sum_s \partial\phi_s \partial\phi_s + \sum \ell^{n-1} \partial^n \phi_{s_1} \phi_{s_2} \phi_{s_3} + \sum \ell^{k-2} \partial^k \phi^4 + \dots \right]$$

effectively “non-local”: no. of ∂ grows with s and no. of ϕ

Aim: find minimal quartic vertex required by gauge invariance

Free higher spin action

- symmetric tensors $\phi_s(x, u) = \phi^{a_1 \dots a_s}(x) u_{a_1} \dots u_{a_s}$
- Fronsdal action: gauge-inv $\int \phi_s \square \phi_s$, 2 d.o.f.

$$S^{(2)}[\phi_s] = \int d^4x \phi_s(x, \partial_u) \hat{T} [\square_x - (u \cdot \partial_x) \hat{D}] \phi_s(x, u) \Big|_{u=0}$$

$$\hat{T} = 1 - \frac{1}{4} u^2 \partial_u^2, \quad \hat{D} \equiv (\partial_x \cdot \partial_u) - \frac{1}{2} (u \cdot \partial_x) \partial_u^2$$

- ϕ_s double-traceless $(\partial_u^2)^2 \phi_s(x, u) = 0$
- linearized gauge transformations

$$\delta_s^{(0)} \phi_s(x, u) = (u \cdot \partial_x) \varepsilon_{s-1}(x, u)$$

with traceless parameter $\partial_u^2 \varepsilon_{s-1}(x, u) = 0$

- de Donder gauge:

$$\hat{D} \phi_s(x, u) = 0 \quad \rightarrow \quad \partial^{a_1} \phi_{a_1 \dots a_s} + \dots = 0$$

$$S^{(2)}[\phi_s] = s! \int d^4x \phi_s(x, \partial_u) \hat{T} \square_x \phi_s(x, u) \Big|_{u=0}$$

Cubic interaction vertices:

- requiring gauge invariance of combined action

$$\delta^{(0)} S^{(3)} + \delta^{(1)} S^{(2)} = 0 \quad [\text{Manvelyan et al; Sagnotti, Taronna; Joung et al 11}]$$

- traceless-transverse part of cubic vertex $(\partial_{x_{ij}} \equiv \partial_{x_i} - \partial_{x_j})$

$$S^{(3)}[\phi_0, \phi_{s_2}, \phi_{s_3}] = c_{0s_2s_3} \int d^d x \left[(\partial_{u_2} \cdot \partial_{x_{31}})^{s_2} (\partial_{u_3} \cdot \partial_{x_{12}})^{s_3} \right. \\ \left. \times \phi_0(x_1) \phi_{s_2}(x_2, u_2) \phi_{s_3}(x_3, u_3) \right]_{\substack{u_i=0 \\ x_i=x}}$$

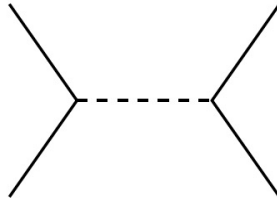
- $c_{s_1s_2s_3}$ fixed in l.c. approach [Metsaev 91] $c_{s_1s_2s_3} = g \frac{\ell^{s_1+s_2+s_3-1}}{(s_1+s_2+s_3-1)!}$
- same $c_{s_1s_2s_3}$ for HS in AdS₄ from AdS/CFT [Skvortsov 15; Sleight, Taronna]

HS propagator in de Donder gauge: $\mathcal{D}_s(u, u'; p) = -\frac{i}{p^2} \mathcal{P}_s(u, u')$

$$\mathcal{P}_s(u, u') = \frac{2}{(s!)^2} \left(\frac{1}{2} \sqrt{u^2 u'^2} \right)^s T_s \left(\frac{u \cdot u'}{\sqrt{u^2 u'^2}} \right)$$

$$T_s(z) \equiv \frac{1}{2} \left[(z + \sqrt{z^2 - 1})^s + (z - \sqrt{z^2 - 1})^s \right]$$

T_s – Chebyshev polynomial of 1st kind



Cubic $0s_2s_3$ vertex : $(p_{ij} \equiv p_i - p_j)$

$$\mathcal{V}(\partial_{u_2}, \partial_{u_3}; p_1, p_2, p_3) = 2ic_{0s_2s_3} (-ip_{31} \cdot \partial_{u_2})^{s_2} (-ip_{12} \cdot \partial_{u_3})^{s_3}$$

Consider scattering of spin 0 via all spin s exchanges

4-scalar scattering amplitude: exchange part

exchange of tower of higher spin fields

[Bekaert, Joung, Mourad 09; Ponomarev, AT 16]

- scalar: $s = 0$ member of HS tower

interactions with even spins only

- s-channel exchange of spin j field

$$\begin{array}{c} \diagup \quad \diagdown \\ \bullet \quad \text{---} \quad \bullet \\ \diagdown \quad \diagup \end{array} \equiv \mathcal{A}_{exch}^j(s, t, u)$$

Mandelstam variables $(p_i^2 = p_i'^2 = 0, \quad s + t + u = 0)$

$$s \equiv -(p_1 + p_2)^2, \quad t \equiv -(p_1 + p_1')^2, \quad u \equiv -(p_1 + p_2')^2$$

$$\mathcal{A}_{exch}^j(s, t, u) = -\frac{ic_{00j}^2}{s} 2^{-j+1} (t + u)^j T_s\left(\frac{t-u}{t+u}\right)$$

$$\mathcal{A}_{exch}(s, t, u) = \sum_{j=0,2,4,\dots}^{\infty} \mathcal{A}_{exch}^j(s, t, u)$$

$$\mathcal{A}_{exch}(s, t, u) = -\frac{i}{s} \left[F(\sqrt{s+t} + \sqrt{t}) + F(\sqrt{s+t} - \sqrt{t}) \right]$$

$$F(z) \equiv \sum_{j=0,2,4,\dots}^{\infty} c_{00j}^2 \left(\frac{z^2}{4}\right)^j = \frac{1}{8} g^2 (\ell z)^2 [I_0(\ell z) - J_0(\ell z)]$$

full exchange amplitude

$$\widehat{\mathcal{A}}_{exch}(s, t, u) = \mathcal{A}_{exch}(s, t, u) + \mathcal{A}_{exch}(t, s, u) + \mathcal{A}_{exch}(u, t, s)$$

- Regge limit of exchange part: $t \rightarrow \infty$, $s = \text{fixed}$

$$\widehat{\mathcal{A}}_{exch}(s, t, u) \sim -\frac{ig^2}{s} \ell^2 t I_0(\ell\sqrt{8t}) \sim -\frac{ig^2}{s} \frac{(\ell^2 t)^{3/4}}{2^{5/4} \pi^{1/2}} e^{\ell\sqrt{8t}}$$

- fixed angle limit:

$$s, t, u \rightarrow \infty, \quad \frac{t}{s} = -\sin^2 \frac{\theta}{2}, \quad \frac{u}{s} = -\cos^2 \frac{\theta}{2}, \quad \theta = \text{fixed}$$

$$\widehat{\mathcal{A}}_{exch}(s, t, u) \sim ig^2 |s|^{3/4} e^{\ell\sqrt{|s|}} f(\theta) \rightarrow \infty, \quad f(\theta) > 0$$

- exponential growth: indication of UV divergences in loops
[cf. string theory: Shapiro-Virasoro amplitude is UV-soft]

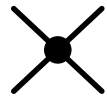
$$A_4 = g^2 \frac{\Gamma(-1-\frac{1}{4}\alpha's)\Gamma(-1-\frac{1}{4}\alpha's)\Gamma(-1-\frac{1}{4}\alpha's)}{\Gamma(2+\frac{1}{4}\alpha's)\Gamma(2+\frac{1}{4}\alpha's)\Gamma(2+\frac{1}{4}\alpha's)}$$

$$\rightarrow g^2 |s|^{-6} (\sin \theta)^{-6} e^{-\alpha' |s| h(\theta)} \rightarrow 0$$

$$h(\theta) = -\frac{1}{4} \left(\sin^2 \frac{\theta}{2} \log \sin^2 \frac{\theta}{2} - \cos^2 \frac{\theta}{2} \log \cos^2 \frac{\theta}{2} \right) > 0$$

But this is not full amplitude: still to add 4-vertex contribution

0000-vertex contribution



- expected to be effectively non-local: infinite series in ∂^n
- may cancel or “soften” large p behaviour of exchange?
- need extra input to fix 4-scalar vertex in flat-space HS action

- guess from flat limit of AdS action constructed from AdS/CFT

[Bekaert, Erdmenger, Ponomarev, Sleight 2015]: $\nabla \rightarrow \partial$

$$S^{(4)}[\phi_0] = g^2 \int d^4x \left[\sum_{j=0}^{\infty} f_{2j}(\Delta_{x_{34}}) (\partial_{x_{12}} \cdot \partial_{x_{34}})^{2j} \right. \\ \left. \times \phi_0(x_1) \phi_0(x_2) \phi_0(x_3) \phi_0(x_4) \right]_{x_i=x}$$

$$\Delta_{x_{34}} \equiv (\partial_{x_3} + \partial_{x_4})^2, \quad \partial_{x_{12}} \equiv \partial_{x_1} - \partial_{x_2}$$

- choose $z \rightarrow \infty$: $f_{2j}(z) \rightarrow c_{2j} \frac{\ell^{4j-2}}{z}$, $c_{2j} = \frac{1}{[(2j-1)!]^2}$

- then contribution to 4-scalar amplitude

$$\sum_{j=0}^{\infty} f_{2j}(s) (t - u)^{2j} = \frac{2t+s}{2s} [I_0(2\ell\sqrt{2t+s}) - J_0(2\ell\sqrt{2t+s})]$$

may cancel against the exchange? total amplitude trivial?

S-matrix approach to gauge-invariant interactions

- direct construction of gauge-inv action via Noether procedure:
ties construction of action to that of gauge transformations
- more efficient approach: start with S-matrix and demand its on-shell gauge invariance: advantage - only linearized transformations $\delta^{(0)}$ act on physical amplitudes
non-linear $\phi\epsilon$ terms in $\delta\phi \sim \partial\epsilon + \phi\epsilon + \dots$,
projected out by leg amputation to get S-matrix element
- linearized gauge transformations

$$\delta^{(0)}\phi_s = \partial\epsilon_{s-1} \quad \rightarrow \quad \delta\phi_{\mu_1\dots\mu_s}(p) = p_{(\mu_1}\epsilon_{\mu_2\dots\mu_s)}(p)$$

- non-trivial case: if S_3 is invariant under linearized g.t.
only up to eqs of motion – $p^2 \times \frac{1}{p^2}$ – higher point violation
of invariance – add higher vertex to cancel

Example: scalar electrodynamics

$$L = \partial^m \phi^* \partial_m \phi + iA^m (\phi^* \partial_m \phi - \phi \partial_m \phi^*) + A^m A_m \phi^* \phi$$

$$\delta A_m = \partial_m \epsilon, \quad \delta \phi = i\phi \epsilon$$

$A(1)\phi(2)\phi(3)A(4)$ scattering amplitude:

$$A_m \rightarrow \zeta_m(p) e^{ip \cdot x}, \quad p \cdot \zeta = 0$$

$$\mathcal{A}_{\text{exch}} = \frac{1}{p_{12}^2} \zeta_1 \cdot p_2 \zeta_4 \cdot p_3 + \frac{1}{p_{13}^2} \zeta_1 \cdot p_3 \zeta_4 \cdot p_2$$

• gauge transformation in leg 1: $\delta \zeta_1 = p_1 \epsilon_1, \quad \delta \phi = 0$

$$\delta \mathcal{A}_{\text{exch}} = (\zeta_4 \cdot p_3 + \zeta_4 \cdot p_2) \epsilon_1 = -\zeta_4 \cdot p_1 \epsilon_1$$

• can be cancelled by adding contact $A^m A_m \phi^* \phi$ vertex

$$\mathcal{A}_{\text{cont}} = \zeta_1 \cdot \zeta_4 \rightarrow \delta \mathcal{A}_{\text{cont}} = p_1 \cdot \zeta_4 \epsilon_1$$

• thus 4-point vertex can be found from condition
of linearized gauge invariance of on-shell amplitude

- To get information about structure of possible 4-vertices consider **0-0-0- s tree-level amplitude**:
 - (i) find exchange contribution
 - (ii) add general 4-vertex contribution
 - (iii) impose on-shell gauge invariance w.r.t. spin s leg
 - (iv) determine “minimal” 4-vertex required by gauge invariance
- Parametrization of $000s$ 4-vertex in momentum space:

$$\mathcal{L}_{000s} = \sum_{k=0}^{s-2} V_{sk}(p_1, p_2, p_3) \times \phi_0(p_1) (2ip_2 \cdot \partial_u)^k \phi_0(p_2) (2ip_3 \cdot \partial_u)^{s-k} \phi_0(p_3) \phi_j(p_4, u)$$

- Aim: constrain coefficient functions V_{sk} by demanding that S-matrix element $000s$ is gauge invariant

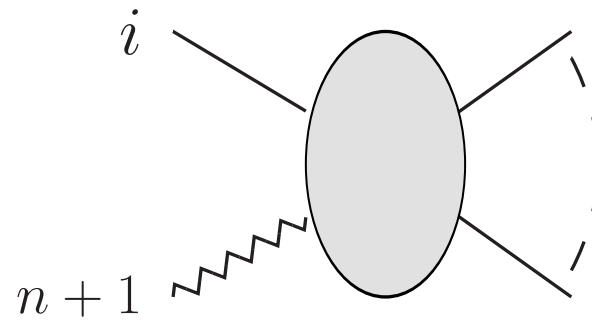
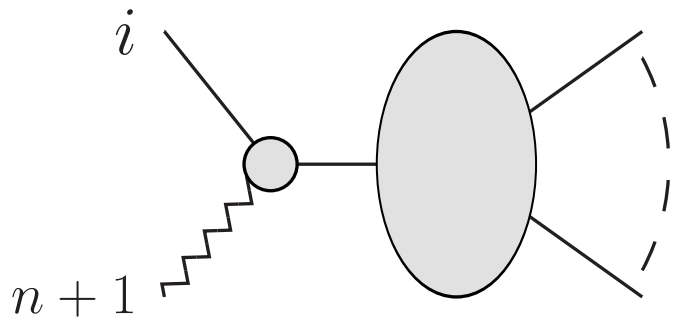
Gauge-invariance constraints on S-matrix

- soft momentum expansion of massless higher spin amplitudes and gauge invariance constraints: [Low 58; Weinberg 64; Bern et al 14]
- soft limit of massless HS theory with generic 3-couplings
- assume locality: all poles in momentum in amplitudes may only come from on-shell propagators of particles in original action
- restrict to leading order of soft momentum expansion: extends [Weinberg 64] to arbitrary couplings of HS [Taronna 11]

Soft momentum expansion of $0\dots 0s$ amplitude

n spin-0 and one spin- s with $p_{n+1} \equiv q \rightarrow 0$

two contributions: with pole at $q \rightarrow 0$ and without pole



$$\mathcal{A}^{\mu_1 \dots \mu_s}(p_1, \dots, p_n, q) = \mathcal{P}^{\mu_1 \dots \mu_s}(p_1, \dots, p_n, q) + \mathcal{R}^{\mu_1 \dots \mu_s}(p_1, \dots, p_n, q)$$

$$\mathcal{P}^{\mu_1 \dots \mu_s} \rightarrow \sum_i \sum_{s'_i} \frac{p_i^{\mu_1} \dots p_i^{\mu_s}}{q \cdot p_i} P_{s'_i}(u, u') [(p_i - q) \cdot \partial_u]^{s'_i} W_{s'_i}(p_i + q, \partial_{u'})$$

$$(p_i + q)^2 = 2q \cdot p_i + q^2 \rightarrow 2q \cdot p_i, \quad q \rightarrow 0$$

$P_s(u, u')$ – projector in spin- s propagator

$W_{s'_i}$ – Green's function with all but i -th leg $(p_i + q)$ on shell

- for $q = 0$: W is n -point amplitude

W is then gauge-invariant: $(W_{s'_i})_{q \rightarrow 0} = W_{s'_i}(p_i, \partial_{u'})$

$$W_{s'_i}(p_i, \partial_{u'}) P_{s'_i}(p_i, u') = 0, \quad s'_i \neq 0$$

$$W_{s'_i}(p_i, \partial_{u'}) (p_i \cdot u')^k P_{s'_i-k}(p_i, u') = 0, \quad k = 1, \dots, s'_i$$

- gauge invariance of full amplitude requires for any q

$$q_{\mu_s} \mathcal{A}^{\mu_1 \dots \mu_s}(p_1, \dots, p_n, q) = 0$$

leading term in $q \rightarrow 0$:

$$\sum_i \sum_{s'_i} p_i^{\mu_1} \dots p_i^{\mu_{s-1}} s'_i! W_{s'_i}(p_i, \partial_{u'}) P_{s'_i}(p_i, u') = 0$$

- assumed locality: dropped \mathcal{R} -term that has no poles in q
- gauge inv of $W_{s'_i}(q=0)$: only terms with $s'_i = 0$ non-zero
- left with $W_0 = \mathcal{A}^{0 \dots 0}(p_1, \dots, p_n)$

$$\mathcal{A}^{0 \dots 0}(p_1, \dots, p_n) \sum_i p_i^{\mu_1} \dots p_i^{\mu_{s-1}} = 0$$

- as $\sum_i p_i^{\mu_1} \dots p_i^{\mu_{s-1}}$ does not, in general, vanish if $s > 2$:

$$\mathcal{A}^{0\dots 0}(p_1, \dots, p_n) = 0$$

local action \rightarrow scattering amplitude =0 [Weinberg]

Soft momentum expansion of $s_1 \dots s_n s$ amplitude

again $\mathcal{A} = \mathcal{P} + \mathcal{R}$, for $q \rightarrow 0$

$$\mathcal{P}^{\mu_1 \dots \mu_s}(p_1, \dots, p_n, q) \rightarrow \sum_{i, s'_i} V_{s, s_i, s'_i}^{\mu_1 \dots \mu_s}(q, p_i, \partial_u) \frac{P_{s'_i}(u, u')}{q \cdot p_i} W_{s'_i}(p_i + q, \partial_{u'})$$

$W_{s'_i}$: all but the i -th leg ($q + p_i$) on shell

for $q = 0$ subject to gauge-invariance constraints

3-vertices $V_{s, s_i, s'_i}^{\mu_1 \dots \mu_s}(q, p_i, \partial_u)$ gauge inv on shell [Manvelyan et al]

non-trivial contribution to spin s gauge inv constraint

leading order at $q \rightarrow 0$: using explicit form of vertices

$$\begin{aligned}
 0 &= \sum_i c_{ss_i s_i} \frac{1}{s_i!} (u_q \cdot p_i)^{s-1} \phi_{s_i}(p_i, \partial_u^{s_i}) W_{s'_i}(p_i, \partial_{u'}) P_{s'_i}(u, u') \\
 &= \mathcal{A}^{s_1 \dots s_n}(p_1, \dots, p_n) \sum_i c_{ss_i s_i} (u_q \cdot p_i)^{s-1}
 \end{aligned}$$

- $s = 2$: $c_{2s_i s_i}$ must be same for all s_i (can use $\sum_k p_k = 0$)
 – spin 2 coupling must be universal
- $s > 2$: sum cannot vanish for generic on-shell momenta
- thus gauge invariance requires that either $\mathcal{A}^{s_1 \dots s_n} = 0$
 or constraint on coupling consts: $c_{ss_i s_i} = 0$, $s_i < s$
 – no cubic diagonal coupling of spin- s with $s_i < s$ fields

- $0\dots 0s$ amplitude as special case:

if $c_{s00} \neq 0$ and assume locality then $\mathcal{A}_n^{0\dots 0} = 0$

$n = 3$: trivially absent

$n = 4$: vanishing comes from constraint on 5-point $0000s$

- assumed locality (no massless poles) of vertices in action:

i.e. may still get gauge-inv S-matrix in a non-local theory

- if manage to recover locality

(adding extra fields, relaxing unitarity)

but preserving gauge invariance

then total amplitude should still vanish

- gauge invariance itself is not enough to fix S-matrix

locality is standard (strong) extra assumption – implying $S=1$

0-0-0-s exchange amplitude: [Roiban, AT 17]

• no constraint from soft limit: $\mathcal{A}_3^{000} \equiv 0$

need to go beyond soft limit

• use 0-0-s' and 0-s'-s: $\phi_s \rightarrow \zeta_s(p) e^{ip \cdot x}$

$\zeta_s(p, q^s) \equiv \zeta_{m_1 \dots m_s}(p) q^{m_1} \dots q^{m_s}$, $p_{ij} = p_i \cdot p_j$, $p_i^2 = 0$

• s-channel:

$$\mathcal{A}_{\text{exch}} = -\frac{ig^2}{p_{12}^2} \sum_{s'} \frac{\ell^{2s'+s-2}}{(s'-1)!(s+s'-1)!} (p_{12}^2)^{s'} T_{s'}\left(\frac{p_{13}^2 - p_{23}^2}{p_{12}^2}\right) \zeta_s(p_4, p_3^s)$$

$$T_s(z) = \frac{1}{2} \left[(z + \sqrt{z^2 - 1})^s + (z - \sqrt{z^2 - 1})^s \right]$$

$$\mathcal{A}_{\text{exch}} = -\frac{2ig^2}{p_{12}^2} \left[F_s(z_+) + F_s(z_-) \right] \zeta_s(p_4, p_3^s)$$

$$F_s(z) = z^{2-s} \left[I_s(z) - J_s(z) \right], \quad z_{\pm} = \ell(\sqrt{p_{13}^2} \pm \sqrt{p_{12}^2 + p_{13}^2})$$

- add t and u channels: full $\mathcal{A}_{\text{exch}}$
- impose linearized gauge invariance condition

$$\delta \zeta_{m_1 \dots m_s}(p) = p_{(m_1} \epsilon_{m_2 \dots m_s)}$$

$$\text{on full amplitude: } \mathcal{A}_4 = \mathcal{A}_{\text{exch}} + \mathcal{A}_{\text{cont}}$$

$$\delta \mathcal{A}_{\text{exch}} = -2sg^2 [F_s(z_+) + F_s(z_-)] \epsilon_{s-1}(p_4, p_3^{s-1}) + \dots$$

cancel this against variation of contribution of 0-0-0- s vertex

$$\sum_{k=0}^{s/2} V_{sk}(p_1, p_2, p_3) \phi_0(p_1) (p_2 \cdot \partial_u)^k \phi_0(p_2) (p_3 \cdot \partial_u)^{s-k} \phi_0(p_3) \zeta_s(p_4, u)$$

$$\delta \mathcal{A}_{\text{cont}} = s V_{s0}(p_1, p_2, p_3) p_{24}^2 \zeta_{s-1}(p_4, p_2^{s-1}) + \dots$$

- find required 4-point vertex V_{000s}

get “minimal” solution consistent (?) with locality

- gauge-invariance: gives relation of V_{sk} to Bessels in $\mathcal{A}_{\text{exch}}$

- local solution for 4-vertex exists only for $s = 2$ and $s = 4$

- $s = 2$:

local 4-vertex required by gauge invariance exists:

$$V_{20} = \frac{g^2}{p_{12}^2} \left(F_2(z_+) + F_2(z_-) - \frac{1}{2} [p_{13}^2 R_2(p_{13}^2) + \text{cycle}] \right)$$

$$R_s(x) \equiv \frac{1}{2x} [I_s(\sqrt{-x}) - J_s(\sqrt{-x})] \quad x \rightarrow 0 \text{ residue of } F_2(x)$$

- particular form of gauge-invariant 0-0-0-2 amplitude:
for special choice of local 4-vertex

$$\mathcal{A} = g^2 [p_{13}^2 R_2(p_{13}^2) + p_{23}^2 R_2(p_{23}^2) + p_{12}^2 R_2(p_{12}^2)] \\ \times \left(\frac{\zeta_2(p_4, p_3^2)}{p_{12}^2} + \frac{\zeta_2(p_4, p_2^2)}{p_{13}^2} + \frac{\zeta_2(p_4, p_1^2)}{p_{23}^2} \right)$$

- still not full amplitude: need to fix possible extra terms
in 4-vertex – requires study of other amplitudes

- $s = 4$:

local 4-vertex $\sim R_4 \sim$ Bessels

particular form of gauge-invariant exch + cont 0004 amplitude:

$$\mathcal{A} = U(p_1, p_2, p_3) \zeta_4(p_4, (p_{12}^2 p_2 - p_{13}^2 p_3)^4) - \frac{i p_{12}^2}{15 p_{13}^2} \zeta_4(p_4, p_2^4) + \dots$$

$$U = \left(\frac{1}{p_{13}^2} + \frac{1}{p_{23}^2} \right) R_4(p_{12}^2) + \text{cycle}$$

- $s > 4$: no local 4-vertex needed for gauge invariance exists

[Roiban, AT 17; Taronna 11,17]

- cf. constraint of soft theorem:

if assume locality then gauge invariance of $000s s'$

implies vanishing of $000s$

Minimal required non-local 4-vertex for $s \geq 6$

to make $000s$ amplitude gauge invariant

coefficient functions $V_{s0}(p_i)$ should have poles ($\ell = 1$)

$$V_{s0}^{\text{nonloc}} = -\frac{1}{p_{12}} \sum_{l=0}^{s/2-3} \kappa_{sl} (p_{13}^{2l+2} + p_{23}^{2l+2})$$

$$\kappa_{sl} = \frac{1}{2^{s/2-1} l! (2l+1)!! (l+\frac{s}{2})! (2l+s+1)!!}, \quad p_1 + p_2 + p_3 + p_4 = 0$$

• 4-vertex in position space $\phi(u) \equiv \phi(x, u)$

$$\mathcal{L}_{000s}^{\text{nonloc}} = g^2 \sum_{l=0}^{s/2-3} \phi_0 (\partial^{\mu_1} \dots \partial^{\mu_{2l+2}} \phi_0) \frac{1}{\square} [\partial_{\mu_1} \dots \partial_{\mu_{2l+2}} (\partial_u \cdot \partial)^s \phi_0] \phi_s(u)$$

• observe factorization in sum over s : $C_{sl} \equiv \frac{\sqrt{8g}}{2^{s/2-l}(l+\frac{s}{2})!(2l+s+1)!!}$

$$\sum_{s=6,8,\dots} \mathcal{L}_{000s}^{\text{nonloc}} = \sum_{l=0}^{\infty} C_{0l} \phi_0 (\partial^{\mu_1} \dots \partial^{\mu_{2l+2}} \phi_0) \\ \times \frac{1}{\square} \sum_{s=6+2l}^{\infty} C_{sl} [\partial_{\mu_1} \dots \partial_{\mu_{2l+2}} (\partial_u \cdot \partial)^s \phi_0] \phi_s(u)$$

• suggests that one may eliminate non-locality by introducing additional tower of $s = 2, 4, 6, \dots$ ghosts-like fields ψ_s

$$\mathcal{L}(\phi, \psi) = -\frac{1}{2} \sum_{l=0}^{\infty} \psi_{2l+2} \square \psi_{2l+2} - \sum_{l=0}^{\infty} \left[C_{0l} \phi_0 (\partial \cdot \partial_v)^{2l+2} \phi_0 \right. \\ \left. + \sum_{s=2l+6}^{\infty} C_{sl} ((\partial_u \cdot \partial)^s (\partial_v \cdot \partial)^{2l+2} \phi_0) \phi_s(u) \right] \psi_{2l+2}(v)$$

- integrating out ψ_j gives also other non-local terms

$$\mathcal{L}_{0000}^{\text{nonloc}} = \sum_{l=0}^{\infty} (C_{0l})^2 \phi_0 \partial^{\mu_1} \dots \partial^{\mu_{2l+2}} \phi_0 \frac{1}{\square} \phi_0 \partial_{\mu_1} \dots \partial_{\mu_{2l+2}} \phi_0$$

$$\begin{aligned} \mathcal{L}_{00s_1s_2}^{\text{nonloc}} = & \sum_{l=0}^{\infty} C_{s_1l} C_{s_2l} \left[(\partial_{u_1} \cdot \partial)^{s_1} \partial_{\mu_1} \dots \partial_{\mu_{2l+2}} \phi_0 \right] \phi_{j_1}(u_1) \\ & \times \frac{1}{\square} \left[(\partial_{u_2} \cdot \partial)^{s_2} \partial_{\mu_1} \dots \partial_{\mu_{2l+2}} \phi_0 \right] \phi_{s_2}(u_2) \end{aligned}$$

- assume that these non-local quartic terms are indeed present then extra contact contribution to 0000 amplitude

$$(\mathcal{A}_s^{\text{exch}})_{0000} \Big|_{\text{pole}} = -\frac{2ig^2}{p_{12}} s_{13} \left[I_0(\sqrt{8p_{13}}) - J_0(\sqrt{8p_{13}}) \right]$$

$$(\mathcal{A}_s^{\text{ct}})_{0000} \Big|_{\text{pole}} = 4i \sum_{l=0}^{\infty} (C_{0l})^2 (p_{13})^{2l+2} = 2ig^2 \frac{p_{13}}{p_{12}} \left[I_0(\sqrt{8p_{13}}) - J_0(\sqrt{8p_{13}}) \right]$$

- total vanishes – cancellation of s -channel pole in 0000 suggests full 0000 amplitude should vanish ?
- same may expect for $s > 0$ – if add proper non-minimal terms
- if **local** and **gauge-invariant** but non-unitary extended action exists – such theory may have a trivial S-matrix in agreement with expectations based on soft theorem

- other options? gauge-invariant but non-local HS action?
which are the principles that fix it?
hidden symmetry?

Conformal off-shell extension

- candidate symmetry: higher spin conformal symmetry – symmetry of conformal higher spins $\int d^4x \phi \square^s \phi$
- analogy: Weyl gravity and conformal extension of Einstein $\int d^4x \sqrt{g} (R\phi^2 + 6\partial^m \phi \partial_m \phi)$ have same symmetries
- similar conformal extension of Fronsdal ∂^2 theory? requires tower of auxiliary ghost fields – analogs of ϕ
- if one eliminates (integrates out) ghost fields \rightarrow non-local action with extra gauge symmetry but same S-matrix

- $S_E(h) = \int d^4x \sqrt{g} R$

$$h_{mn} = t_{mn} + \frac{1}{4}\eta_{mn}h, \quad t_{mn} \equiv h_{mn} - \frac{1}{4}\eta_{mn}h, \quad h \equiv h_m^m$$

- h – unphysical – can be gauged away on shell: does not appear as asymptotic state in S-matrix

- integrate out h – non-local effective action for t_{mn}

produces same Einstein S-matrix

$$\begin{aligned} \bar{S}_E(t) = \int d^4x & (t\partial^2 t + \partial\partial t\partial^{-2}\partial\partial t + \partial^2 ttt \\ & + \partial^2 t\partial^{-2}\partial t\partial t + \partial^2 tttt + \partial t\partial t\partial^{-2}\partial t\partial t + \dots) \end{aligned}$$

cf. $S_W(t) = \int d^4x \sqrt{g} C^2 = \int d^4x (t\partial^4 t + \partial^4 ttt + \partial^4 tttt + \dots)$

- closed form of such action: [\[Fradkin, Vilkovisky 75\]](#)

$$S' = \int d^4x \sqrt{g} \left(R - \frac{1}{6} R \Delta^{-1} R \right)$$

Weyl-invariant off shell extension of Einstein theory

- generalize to HS case: quadratic plus cubic action for

Fronsdal HS fields $\phi_{m_1 \dots m_s}$ subject to double-tracelessness

(i) split into “physical” traceless t_s + “ghost-like” trace h_{s-2}

(ii) integrate out h_{s-2}

- resulting non-local action for t_s should lead to same S-matrix:

analog of conformal off-shell extension of Einstein theory

invariant under (spont broken) conformal HS symmetry?

Integrating out the trace from the Einstein action

$$L_E(h) = \sqrt{g}R = X_1 + X_2 + X_3 + X_4 + \dots ,$$

$$X_1 = \partial_m \partial_n h_{mn} - \partial^2 h = \partial_m \partial_n t_{mn} - \frac{3}{4} \partial^2 h$$

$$X_2 = \frac{3}{4} \partial_k t_{mn} \partial_k t_{mn} - \frac{1}{2} \partial_k t_{mn} \partial_n t_{mk} + t_{mn} \partial^2 t_{mn} - \partial_n t_{kn} \partial_m t_{km} \\ + \frac{3}{32} (\partial_k h)^2 + \frac{1}{4} \partial_m t_{mn} \partial_n h + \frac{1}{2} t_{mn} \partial_m \partial_n h$$

$$X_3 = \frac{3}{4} t_{mn} \partial_m t_{sr} \partial_n t_{sr} + t_{ms} \partial_m t_{nr} \partial_n t_{sr} + \frac{1}{2} t_{ns} \partial_m t_{nr} \partial_r t_{sm} + \dots$$

Solving for h:

$$\bar{L}_E(t) = \bar{L}_E^{(2)}(t) + \bar{L}_E^{(3)}(t) + L_E^{(4)}(t) + \dots$$

$$\bar{L}_E^{(2)}(t) = -\frac{1}{4} \partial_k t_{mn} \partial_k t_{mn} + \frac{1}{2} \partial_k t_{mk} \partial_n t_{mn} + \frac{1}{6} \partial_m \partial_n t_{mn} \partial^{-2} \partial_k \partial_r t_{kr} \\ = \frac{1}{2} C_{mnkl} \partial^{-2} C_{mnkl} = \frac{1}{4} t_{ab} P_{mn}^{ab} \partial^2 t^{mn}$$

$$P_{mn}^{ab} = P_{(m}^a P_{n)}^b - \frac{1}{3} P^{ab} P_{mn} , \quad P_{mn} = \eta_{mn} - \frac{\partial_m \partial_n}{\partial^2}$$

$$\bar{L}_E^{(3)}(t) = X_3(t) + \frac{1}{3} X_1(t) \partial^{-2} X_2(t) , \quad X_n(t) \equiv X_n(t, h = 0)$$

- in transverse gauge $\partial_m t_{mn} = 0$

$$\bar{X}_1 = 0, \quad \bar{X}_2 = \frac{3}{4} \partial_k t_{mn} \partial_k t_{mn} - \frac{1}{2} \partial_k t_{mn} \partial_n t_{mk} + t_{mn} \partial^2 t_{mn},$$

$$\bar{X}_3 = -\frac{1}{4} t_{ab} \partial_a t_{mn} \partial_b t_{mn} + t_{ab} \partial_a t_{mn} \partial_n t_{mb} - \frac{1}{2} t_{ab} \partial_n t_{ma} \partial_n t_{mb} + \dots$$

$$\bar{X}_4 = -\frac{1}{16} t_{mn} t_{mn} (\partial_r t_{ab} \partial_r t_{ab} - 2 \partial_r t_{ab} \partial_b t_{ar}) + \dots$$

$$\bar{L}_E(t) = -\frac{1}{4} \partial_k t_{mn} \partial_k t_{mn} + \bar{X}_3(t) + \bar{X}_4(t) + Y_4, \quad Y_4 = \frac{1}{6} \bar{X}_2 \partial^{-2} \bar{X}_2$$

- non-local contribution Y_4 to 4-graviton amplitude

$$Y_4 = \frac{1}{6} \left[\frac{3}{8} \partial^2 (t_{mn} t_{mn}) - \frac{1}{2} \partial_k \partial_n (t_{mn} t_{mk}) \right] \frac{1}{\square} \left[\frac{3}{8} \partial^2 (t_{ab} t_{ab}) - \frac{1}{2} \partial_r \partial_b (t_{ab} t_{ar}) \right]$$

- complete 4-graviton amplitude =

$$t_{mn} \text{ exchange } \bar{X}_3 \partial^{-2} \bar{X}_3 + \text{local } \bar{X}_4(t) + \text{non-local } Y_4(t)$$

is physical and gauge-independent

but split between exchange and contact contributions depends

on (on-shell) gauge or particular choice of polarization tensors

Conformal off-shell extension of Einstein theory

- same $\bar{L}_E(t)$ obtained by integrating out h can be found from Weyl-invariant off-shell extension of Einstein theory

$$S(g, \phi) = S_E(\phi^2 g) = \int d^4x \sqrt{g} (R \phi^2 + 6 \partial^m \phi \partial_m \phi)$$

invariant under $g'_{mn} = \lambda^2(x) g_{mn}$, $\phi' = \lambda^{-1}(x) \phi$

- perturbatively equivalent to the Einstein theory if assume ϕ has a non-zero constant vacuum value in flat space

i.e. expansion $g_{mn} = \eta_{mn} + h_{mn}$, $\phi = 1 + \varphi$

- if fix the Weyl gauge $\varphi = 0 \rightarrow$ Einstein theory
or if solve for φ in terms of the metric \rightarrow

non-local “conformal off-shell extension” of Einstein gravity

- gives equivalent S-matrix but has an additional Weyl symm

$$\phi(g) = 1 + \varphi(g) , \quad -\nabla^2 \varphi + \frac{1}{6} R(1 + \varphi) = 0$$

$$\varphi = -\frac{1}{6} \Delta^{-1} R , \quad \Delta \equiv -\nabla^2 + \frac{1}{6} R$$

$$S_c(g) \equiv S(g, \phi(g)) = \int d^4x \sqrt{g} \left(R - \frac{1}{6} R \Delta^{-1} R \right)$$

- Weyl symmetry – can fix traceless gauge on h_{mn} :

S_c depends only on traceless graviton t_{mn} even off-shell

- resulting action is equivalent to $\bar{S}_E = \int d^4x \bar{L}_E(t)$

found by integrating out h from the Einstein action:

either gauge-fixing $\varphi = 0$ and solving for h

or first gauge-fixing $h = 0$ and solving for φ :

gives same action for t_{mn}

Higher spin generalization?

- Weyl gravity \rightarrow conformal higher spin theory invariant under conformal higher spin symmetry

- conformal extension of Einstein theory \rightarrow 2-derivative higher spin generalization?

with extra tower of ghost-like “compensator” fields

- solving for extra tower of fields should give non-local action with extra higher spin conformal symmetry depending on “physical” traceless parts t_s of Fronsdal fields ϕ_s

- equivalent action (leading to same S-matrix)

from integrating out traces h_{s-2} of the fields ϕ_s in

massless HS Lagrangian $L = \sum_s \phi_s \partial^2 \phi_s + V_3(\phi) + V_4(\phi) + \dots$

- kin term in non-local action depends only on traceless t_s represented in terms of linearized Weyl tensors $C_s \sim \partial^s t_s$

conf HS theory: $L_2 = C_s C_s = t_s \square^s t_s + \dots$

conf Fronsdal: $L_2 = C_s \square^{1-s} C_s = t_s \square t_s + \dots$

- some analogy with “extended” cubic+ quartic theory from condition of on-shell gauge invariance:

also has extra “ghost-like” HS fields ψ_j needed for locality

- suggests interpretation of ψ_j as

conformal compensators of conformal off-shell extension that should not appear as asymptotic states in S-matrix

- this proposal may be explaining possible triviality of resulting S-matrix on the basis of extra hidden symmetry:

allowed terms in amplitudes then are delta-functions of s,t,u as in conformal higher spin theory

[Joung, Nakach, AT 15; Beccaria, Nakach, AT 16]

Conclusions

- gauge invariance + locality \rightarrow triviality of S-matrix
 - using S-matrix gauge invariance to constrain Lagrangian:
0002 and 0004 amplitudes are gauge invariant for local V_4
but 000 s with $s > 4$ require non-local 4-vertices
 - may be eliminated by extra tower of ghost-like HS fields
 - this requires, in particular, additional 0000 vertex that cancels exchange part of 0000 amplitude
- if locality can be restored \rightarrow S-matrix is trivial?
- further tests required – e.g. gauge inv of 00 $s_1 s_2$ amplitude
 - analogy with conformal off-shell extension of Einstein theory:
higher symmetry explaining triviality of S-matrix?
 - theory with “trivial” S-matrix up to contact δ -function terms?
 - is there non-local gauge-invariant HS action? symmetry?

- lessons for AdS where “S-matrix” is known
 - given by boundary CFT
- is there a flat-space limit? is it trivial?