

Remarks on conformal invariance of gauge fields

Maxim Grigoriev

Lebedev Physical Institute, Moscow

Based on:

*G. Barnich, X. Bekaert, M.G., [arXiv:1506.00595](https://arxiv.org/abs/1506.00595)
some earlier related works with G. Barnich, X. Bekaert, K. Alkalaev, I. Tipunin*

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General question: classification of symmetries of a given system

- PDE symmetries

Vinogradov; Anderson; Dickey

- Conformal differential operators

Penrose; Eastwood, Rice; Dobrev

- HS fields

Eastwood; Vasiliev; Anco, Pohjanpelto; Shaynkman, Tipunin, Vasiliev; Bekaert, M.G.

Typical particular question: whether a system invariant under Lie algebra \mathfrak{h} admits a bigger algebra $\mathfrak{g} \supset \mathfrak{h}$

Main example $iso(d-1, 1)$ (Poincaré) or $so(d-1, 1)$ (AdS_d) extends to $so(d, 2)$ (conformal).

Two approaches (closely related though not equivalent in general):

1) Group theoretical: whether an (irreducible) \mathfrak{h} -module of suitable class of solutions admits a lift to \mathfrak{g} -module.

$$\mathfrak{h} = iso(d-1, 1), \mathfrak{g} = so(d, 2)$$

Gross 1964, Bracken, Jessup 1982, Siegel 1988,

$$\mathfrak{h} = so(d-1, 2), \mathfrak{g} = so(d, 2)$$

Metsaev 1995

2) EOM symmetries: whether an \mathfrak{h} -symmetry of EOMs extends to $\mathfrak{g} \supset \mathfrak{h}$

Plan:

- Generalities
 - Linear symmetries for non-gauge EOM's
 - Linear symmetries of gauge EOM's
 - BRST complex
 - Relation to unfolded approach
 - Potentials vs. curvatures
- Obstructions
 - Global reducibility parameters
 - Branching rules
- Examples
 - Fronsdal fields
 - Maximal depth partially-massless (PM) fields
 - PM vs. FT fields
- Variational symmetries
- Conclusions

Linear EOM's, x^μ space-time coordinates (independent variables), $\phi^i(x)$ fields (dependent variables)

$$T_i^a(x, \frac{\partial}{\partial x}) \phi^i = 0$$

Linear symmetry: $A_j^i(x, \frac{\partial}{\partial x})$ that maps solutions to solutions. $A_j^i(x, \frac{\partial}{\partial x})$ is trivial if $A_j^i \phi_0^j = 0$ for any solution ϕ_0 .

Assuming T_i^a are regular (i.e. any trivial A has the form $B_a^i T_j^a$) the definition:

$$T_i^a A_j^i = A_b^a T_j^b \quad A_j^i \sim A_j^i + B_a^i T_j^a$$

Standard definition, e.g.

Linear gauge systems

In addition to $T_i^a(x, \frac{\partial}{\partial x})$ there are gauge generators $R_\alpha^i(x, \frac{\partial}{\partial x})$ such that

$$T_i^a(x, \frac{\partial}{\partial x}) R_\alpha^i(x, \frac{\partial}{\partial x}) = 0 \quad \text{i.e. } R_\alpha^i \chi^\alpha \text{ is always a solution (pure gauge)}$$

Solutions differing by a pure gauge are considered equivalent.

Linear global symmetries of a gauge system: a global symmetry should respect equivalence classes:

$$A_j^i R_\alpha^j = R_\beta^i A_\alpha^\beta, \quad T_i^a A_j^i = A_b^a T_j^b$$

Symmetries proportional to gauge ones are also trivial i.e.

$$A_j^i \sim A_j^i + B_\alpha^i T_j^a + R_\alpha^i B_j^\alpha$$

This gives a definition of global symmetry of a gauge system if T_a^i and R_α^i are independent (irreducible system).

Generalization is easily achieved through the following reformulation:

Linear spaces

$$\mathcal{H}_{-1} = \{\chi^\alpha(x)\}, \quad \mathcal{H}_0 = \{\phi^i(x)\}, \quad \mathcal{H}_1 = \{\phi_*^a(x)\}$$

Consider degree 1 operator Ω :

$$\stackrel{(i)}{\Omega} : \mathcal{H}_i \rightarrow \mathcal{H}_{i+1} \quad \stackrel{(-1)}{\Omega} = R_\alpha^i(x, \frac{\partial}{\partial x}), \quad \stackrel{(0)}{\Omega} = T_i^\alpha(x, \frac{\partial}{\partial x}), \quad \stackrel{(1)}{\Omega} = 0$$

The compatibility $TR = 0$ is contained in $\Omega^2 = 0$ and regularity in $H^1(\Omega) = 0$.

Consider degree 0 operator A and degree -1 operator B

$$A : \stackrel{(i)}{A} : \mathcal{H}_i \rightarrow \mathcal{H}_i \quad \stackrel{(i)}{A} = A, \quad \stackrel{(0)}{A}_j^i = A_j^i$$

$$B : \stackrel{(i)}{B} : \mathcal{H}_i \rightarrow \mathcal{H}_{i-1} \quad \stackrel{(i)}{B} = B$$

The definition of symmetry becomes:

$$[\Omega, A] = 0, \quad A \sim A + [\Omega, B]$$

i.e. "BRST first-quantized observables" $H^0([\Omega, \cdot])$

General linear gauge system:

$$(\Omega, \mathcal{H}), \quad \mathcal{H} = \bigoplus_i \mathcal{H}_i, \quad \text{deg}(\Omega) = 1, \quad \Omega^2 = 0 \quad H^i(\Omega) = 0 \quad \forall i > 0$$

Technical assumption: $H^i(\Omega)$ is finite dimensional for $i < 0$. (First quantized) BRST.

Global symmetries:

$$[\Omega, A] = 0, \quad A \sim A + [\Omega, B]$$

Linear gauge EOMs \Leftrightarrow (Formal) quantum constrained systems

We have (almost obvious) statements:

- $\mathcal{A} = H^0([\Omega, \cdot])$ – associative algebra of inequivalent global symmetries
- $H^i([\Omega, \cdot])$ – representation of \mathcal{A} or any its (Lie) subalgebra
- $H^i(\Omega, \mathcal{H})$ – representation of \mathcal{A} or any its (Lie) subalgebra

In particular, if the system is by construction \mathfrak{h} -invariant all $H^i(\Omega, \mathcal{H})$ are \mathfrak{h} -modules. If \mathfrak{h} -symmetry lifts to $\mathfrak{g} \supset \mathfrak{h}$ then all $H^i(\Omega)$ can be lifted to \mathfrak{g} -modules.

This gives a powerful (as we are going to see) necessary condition for a system to admit \mathfrak{g} -symmetry.

For instance to show that system does not admit \mathfrak{g} symmetry it is enough to show that at least one $H^i(\Omega)$ is not an \mathfrak{g} -module.

For non-gauge system and $H^0(\Omega)$ in a suitable functional space this reproduces the standard approach ... , *Bracken, Jessup 1982, Siegel 1988, Metsaev 1995, Shaynkman, Tipunin, Vasiliev 2003,...*

For gauge system $H^i(\Omega)$ with $i < 0$ are typically finite-dimensional so that classical representation theory applies; **hence the first thing to check.**

For instance $H^{-1}(\Omega)$ is the space of **global reducibility parameters**: $R_{\alpha}^i \chi_0^{\alpha} = 0$, e.g. Killing tensors. For $i < -1$ their higher analogs associated to higher reducibility relations.

(Non)Branching rules:

In application we can often concentrate on an irreducible system. In this case at least one (but usually all) $H^i(\Omega)$ are irreducible \mathfrak{h} -modules. If the \mathfrak{h} -symmetry lifts to $\mathfrak{g} \supset \mathfrak{h}$ symmetry then

$$(H^i(\Omega))_{\mathfrak{g}} \downarrow (H^i(\Omega))_{\mathfrak{h}}$$

i.e. as an \mathfrak{g} -module $H^i(\Omega)$ does not branch. For instance:

Lemma 1. *A nontrivial irreducible $so(d+2)$ -module $\mathcal{D}_{o(d+2)}(s_1, \dots, s_r)$ remains irreducible after its restriction to $so(d+1)$ if and only if*

d is even $r = \frac{d+2}{2}$ and $s_1 = \dots = s_{r-1} = |s_r|$,

i.e., if it is described by a rectangular Young Tableaux (YT) of height $\frac{d+2}{2}$.

As an $o(d+1)$ module it is associated to rectangular YT of height $\frac{d}{2}$.

Example: rank 3 selfdual antisymmetric tensors in 6d are 1:1 with rank 2 antisymmetric tensors in 5d.

Relation with unfolded approach

Unfolded formulation

Vasiliev 1988, Lopatin, Vasiliev 1988, Vasiliev 2005, ...

In the minimal unfolded formulation $H^i(\Omega)$ are realized manifestly. More precisely p -form fields take values in $H^{-p}(\Omega)$. The relation is best understood using the parent construction *Barnich, M.G. Semikhatov, Tipunin 2004, Barnich M.G. 2006, 2010.*

Parent formulation

$$\Omega^P = dx^\mu \left(\frac{\partial}{\partial x^\mu} - \frac{\partial}{\partial y^\mu} \right) + \bar{\Omega}, \quad \bar{\Omega} = \Omega|_{x^\mu \rightarrow x^\mu + y^\mu, \frac{\partial}{\partial x^\mu} \rightarrow \frac{\partial}{\partial y^\mu}} \quad (1)$$

new variables y^μ , new constraints $(\frac{\partial}{\partial x^\mu} - \frac{\partial}{\partial y^\mu})\Phi = 0$, new ghosts dx^μ

Consider $H^{-p}(\bar{\Omega}_x)$ at fixed x^μ . This is $H^{-p}(\Omega)$ in formal power series around x . If $H^{-p}(\bar{\Omega}_x)$ is isomorphic for all x^μ (this happens for \mathfrak{h} -invariant systems on H -cosets) one can eliminate all fields but those associated to $H^i(\bar{\Omega})$. EOM's and gauge transformations

$$\begin{aligned} \nabla\phi_0 = 0, \quad \nabla\phi_1 + \sigma_2\phi_0 = 0, \quad \nabla\phi_2 + \sigma_2\phi_1 + \sigma_3\phi_0 = 0, \quad \dots \\ \delta\phi_0 = 0, \quad \delta\phi_1 = \nabla\chi_1, \quad \delta\phi_2 = \nabla\chi_2 + \sigma_2\chi_1, \quad \dots \end{aligned}$$

In general, $H^{-p}(\bar{\Omega}_x)$ may be different at different x . E.g. ambient space formulation of AdS field. $H^0(\bar{\Omega}_X)$ on the cone $X^2 = 0$ and the hyperboloid $X^2 = -1$ are not isomorphic (though related). This underlies ambient approach to boundary values of [Bekaert, M.G. 2012, 2013](#).

Note that $H^{-p}(\bar{\Omega}_x)$ is unchanged for $p > 0$.

Potentials vs. curvatures

- In the unfolded formulation one can consistently put $\phi_p = 0$ for $p > 0$, giving $\nabla\phi_0 = 0$.

This gives a non-gauge system such that $H^0(\Omega') = H^0(\Omega')$ (i.e. the spaces of gauge inequivalent solutions coincide). [Formulation in terms of curvatures](#).

Usually the curvature formulation is constructed from scratch without using above techniques. For instance, for e.g. Fronsdal fields, Fradkin Tseytlin fields curvature formulations are known for quite a while [Weinberg 1965, Fradkin, Tseytlin 1985,...](#)
The fundamental field is (generalized) Weyl tensor.

The linear gauge system (Ω, \mathcal{H}) and its curvature formulation are **not** equivalent as local field theories and e.g. may have different global symmetries. E.g. Fronsdal fields in 4d are not conformal for $s > 1$ but its curvature formulation is. The difference can e.g. be traced to $H^{-p}(\Omega)$ for $p > 0$.

[We are forced to work with potentials due to Interactions, Lagrangian, Quantization](#)

(Warm-up) example: Fronsdal fields in flat space

Generating functions:

$$\Phi(x, p) = \phi_{\mu_1 \dots \mu_s} a^{\mu_1} \dots a^{\mu_s} \quad \chi = \chi_{\mu_1 \dots \mu_{s-1}} a^{\mu_1} \dots a^{\mu_{s-1}}$$

satisfying

$$\frac{\partial}{\partial x} \cdot \frac{\partial}{\partial x} \Phi = \frac{\partial}{\partial x} \cdot \frac{\partial}{\partial a} \Phi = \frac{\partial}{\partial a} \cdot \frac{\partial}{\partial a} \Phi = 0, \quad \text{same for } \chi$$

Gauge transformation

$$\delta_\chi \Phi = a \cdot \frac{\partial}{\partial x} \chi$$

$H^{-1}(\Omega)$ – traceless Killing tensors:

$$a \cdot \frac{\partial}{\partial x} \chi_0 = 0, \quad \frac{\partial}{\partial x} \cdot \frac{\partial}{\partial x} \chi_0 = \frac{\partial}{\partial x} \cdot \frac{\partial}{\partial a} \chi_0 = \frac{\partial}{\partial a} \cdot \frac{\partial}{\partial a} \chi_0 = 0$$

The Poincaré translations act like $P_\mu = \frac{\partial}{\partial x^\mu}$. If it lifts to conformal the later should arise from generalized Verma induced from $V_0 \subset H^{-1}(\Omega)$ annihilated by P_μ . V_0 is a Lorentz module $\mathcal{D}(s-1, 0, \dots, 0)$.

If we are after variational symmetries then $\Delta = \frac{d}{2} - 2$ for V_0 which for $d \geq 0$ implies $s = 1$. Even no need to use $H^0(\Omega)$!

At the EOM level one also gets $s = 1$ but to get $d = 4$ one employs known results on $H^0(\Omega)$ e.g. [Shaynkman, Tipunin, Vasiliev 2003](#).

The procedure works similarly for mixed-symmetry fields. $H^{-p}(\Omega)$ known from [Alkalaev, M.G. Tipunin, 2008](#) (also [Skvortsov 2008](#)). Again only totally antisymmetric field of rank $d/2 - 1$ is conformal in terms of potential.

But in terms of curvatures fields associated with rectangular YT of height $\frac{d}{2} - 1$ and arbitrary length are conformal for even d .

Maximal-depth PM fields in 4d

Partially massless fields *Deser, Nepomechie 1983; Deser, Waldron 2001; Skvortsov Vasiliev 2006;...*

Ambient space X^B , $(B = 0, \dots, 4)$, flat metric $\eta_{AB} = \text{diag}(-, +, +, +, -)$, anti-de Sitter spacetime AdS_4 is the hyperboloid $X \cdot X + 1 = 0$.

In terms of generating function:

Alkalaev M.G. 2009,2011, M.G. Waldron 2011, Joung, Lopez, Taronna 2012

$$\begin{aligned} (X \cdot \frac{\partial}{\partial X} + 1)\Phi = 0, \quad X \cdot \frac{\partial}{\partial A}\Phi = 0, \quad (A \cdot \frac{\partial}{\partial A} - s)\Phi = 0, \\ \frac{\partial}{\partial X} \cdot \frac{\partial}{\partial X}\Phi = \frac{\partial}{\partial X} \cdot \frac{\partial}{\partial A}\Phi = \frac{\partial}{\partial A} \cdot \frac{\partial}{\partial A}\Phi = 0, \end{aligned}$$

gauge transformations with $\chi = \chi(X)$

$$\delta_\chi \Phi = (A \cdot \frac{\partial}{\partial X})^s \chi, \quad (X \cdot \frac{\partial}{\partial X} - s + 1)\chi = 0, \quad \frac{\partial}{\partial X} \cdot \frac{\partial}{\partial X}\chi = 0.$$

As a $so(3, 2)$ -module the global reducibilities $H^{-1}(\Omega) = \mathcal{D}(s - 1, 0)$. As we have seen such module does not lift to $o(4, 2)$. **Not conformal in terms of potentials.**

Curvatures

Still one needs to check if similarly to Fronsdal fields in 4d maximal-depth PM fields are not conformal in terms of curvatures. As Lorentz $so(3, 1)$ tensor the curvature is $F_{\mu|\nu_1\dots\nu_s}$ and associated to $\mathcal{D}(s, 1)$. If it were conformal its Weyl transformation to flat space should coincide with naive flat limit if it's regular.

In flat space the powerful method is available [Shaynkman, Tipunin, Vasiliev 2003](#). This gives possible relevant conformal equations: the one with Lorentz tensor structure $\mathcal{D}(s, 0)$ and conformal dimension $\Delta = 2$ for F is. There are no more 1st order equations for F of the same Δ .

maximal depth FT fields

The conformal equation $\mathcal{D}(s, 0)$ corresponds to curvature formulation of a very similar system: conformal symmetric tensors (CST) or maximal-depth Fradkin-Tseytlin (FT) field

cf. talk by A. Tseytlin

- $s = 1$ Maxwell, $s = 2$

- $s > 2$

- Belongs to the class of conformal gauge fields (generalizing FT ones) *Vasiliev 2009*

- Boundary values of maximal-depth PM fields in AdS_5 *Bekaert, M.G. 2013*

Lagrangian

Erdmenger, Osborn 1997

$$L = \partial^\nu \varphi^{\mu_1 \dots \mu_s} \partial_\nu \varphi_{\mu_1 \dots \mu_s} - \frac{2s}{s+1} \partial_\nu \varphi^{\nu \mu_2 \dots \mu_s} \partial^\lambda \varphi_{\lambda \mu_2 \dots \mu_s}$$

gauge transformations: $\delta \varphi_{\mu_1 \dots \mu_s} = \partial_{\mu_1} \dots \partial_{\mu_s} \chi - \text{traces.}$

$s = 2$ PM field in terms of curvatures

The curvature

Deser, Waldron 2006

$$F_{\mu\nu|\rho} = \nabla_{\mu}\varphi_{\nu\rho} - \nabla_{\nu}\varphi_{\mu\rho}.$$

EOM's

$$\nabla^{\rho}F_{\rho(\mu|\nu)} - g_{\mu\nu}\nabla^{\rho}F'_{\rho} + \nabla_{(\mu}F'_{\nu)} = 0,$$

where $F'_{\mu} = F_{\mu\rho|\nu}g^{\rho\nu}$ and $X_{(a}Y_{b)} = \frac{1}{2}(X_aY_b + X_bY_a)$.

Lagrangian

Deser, Waldron 2006

$$L^{PM} = F_{\mu\nu|\rho}F^{\mu\nu|\rho} + F'^{\nu}F'_{\nu}.$$

If $F_{\mu\nu|\rho}$ is fundamental, the complete system

$$F_{\mu\nu|\rho} = -F_{\nu\mu|\rho}, \quad F_{[\mu\nu|\rho]} = 0, \quad F_{\mu\nu|\rho}g^{\nu\rho} = 0, \\ \nabla^{\mu}F_{\mu\nu|\rho} = 0, \quad \nabla_{[\sigma}F_{\mu\nu]|\rho} = 0.$$

$s = 2$ maximal depth FT field in 4d in terms of curvatures

The traceless component of the curvature is

$$\tilde{F}_{\mu\nu|\rho} = \nabla_{\mu}\varphi_{\nu\rho} - \nabla_{\nu}\varphi_{\mu\rho} - \frac{1}{3}g_{\mu\rho}\nabla^{\alpha}\varphi_{\alpha\nu} + \frac{1}{3}g_{\nu\rho}\nabla^{\alpha}\varphi_{\alpha\mu}.$$

EOM's and Lagrangian

$$\nabla^{\mu}\tilde{F}_{\mu(\nu|\rho)} = 0, \quad L^{FT} = \frac{1}{2}\tilde{F}_{\mu\nu|\rho}\tilde{F}^{\mu\nu|\rho}.$$

If one treats $\tilde{F}_{\mu\nu|\rho}$ as the fundamental fields, the complete set of equations is

$$\begin{aligned} \tilde{F}_{\mu\nu|\rho} &= -\tilde{F}_{\nu\mu|\rho}, & \tilde{F}_{[\mu\nu|\rho]} &= 0, & \tilde{F}_{\mu\nu|\rho}g^{\nu\rho} &= 0, \\ \nabla^{\mu}\tilde{F}_{\mu(\nu|\rho)} &= 0, & \nabla_{[\sigma}\tilde{F}_{\mu\nu]|\rho} &= g_{\rho[\sigma}A_{\mu\nu]}, \end{aligned}$$

- Algebraic constraints coincide with PM ones
- Differential equations are a subset of PM ones
- PM solutions form an $o(3, 2)$ -submodule of FT ones (but not $o(4, 2)$)

General AdS gauge fields.

For a generic AdS_d field the only nonvanishing $H^i(\Omega)$ is $H^{-p}(\Omega)$, where p is a position the raw in the associated YT to which gauge transformations symmetry is associated

Alkalaev, M.G. 2009,2011

Can be also inferred from earlier works *Alkalaev, Shaynkman, Vasiliev 2003, Skvortsov 2009*.

For instance candidates are Fronsdal fields in 4d. In this case $H^{-1}(\Omega) = \mathcal{D}(s - 1, s - 1)$ (the familiar 2-row YT). However, the self-duality in $4 + 2$ dimensions does not have real solutions. Though there is a chance with complex (i.e. doubled set of) fields

cf. Vasiliev 2001.

More generally, one can rule out all PM fields just by considering $H^{-p}(\Omega)$.

Variational symmetries

If linear gauge system Ω , \mathcal{H} is Lagrangian \mathcal{H} is equipped with graded symmetric inner product

$$\langle \phi, \chi \rangle = \int d^d x g_{ij} \phi^i(x) \chi^j(x), \quad \langle \phi, \chi \rangle = (-1)^{|\phi||\chi|} \langle \chi, \phi \rangle, \quad \text{gh}(\phi) + \text{gh}(\chi) = 1$$

such that $\Omega^\dagger = \Omega$. The action

$$S = \frac{1}{2} \langle \phi_0, \Omega \phi_0 \rangle \quad \text{gh}(\phi_0) = 0, \quad S_{BV} = \frac{1}{2} \langle \psi, \Omega \psi \rangle$$

Variational global symmetries

$$A^\dagger = -A, \quad [\Omega, A] = 0, \quad A \sim A + [\Omega, B]$$

Note that variational symmetries form Lie rather than associative algebra.

In fact \langle, \rangle determines a Batalin-Vilkovisky antibracket, $S_{BV} = \frac{1}{2} \psi \Omega \psi$, Global symmetry generators $\frac{1}{2} \langle \psi, I A \psi \rangle$, $I e_a = (-)^{|a|} e_a$.

Simplest example: Variational symmetries of KG equation

All symmetries are $1 : 1$ with conformal Killing tensors

Eastwood 2002

Variational symmetries are $1 : 1$ with **odd-rank** conformal Killing tensors. An associated Noether current is then even-rank.

Conclusions

- Simple though powerful necessary condition for global symmetries of gauge systems in terms of (higher-order) global reducibility parameters.
- Global reducibility parameters are tightly related to so-called surface charges (Local BRST cohomology degree $d - 2$ (and hence represented by $d - 2$ -forms)).
- Interesting to classify all variational symmetries of real $d/2 - 1$ antisymmetric fields. Proposal for all symmetries [Bekaert, M.G. 2009](#). Extra selection criteria for HS algebras.