

# Holography beyond conformal invariance and AdS isometry?

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# Introduction

Vectorial models of  $O(N)/U(N)$  CFT<sub>d</sub> dual to gauge theory on AdS<sub>d+1</sub> – Vasiliev theory of higher spin gauge fields

Tree level  $O(N^1)$  and “one-loop”  $O(N^0)$  checks of agreement between CFT partition functions and AdS calculations in  $d=3$ , in higher dimensions, thermal and Casimir energy parts in CFT<sub>d</sub> and AdS<sub>d+1</sub>,

Nontrivial check of AdS<sub>5</sub>/CFT<sub>4</sub>: vanishing Casimir energy in odd  $d+1 \rightarrow \sum_s a_s = 0$  on S<sup>4</sup>

Double-trace deformations of CFT  $\rightarrow$  RG flow from IR fixed point (free CFT) to UV fixed point -- holographic dual is the transition between different boundary conditions on dual massless gauge fields in AdS

Something deep behind these miraculous coincidences extending beyond AdS and CFT?

Klebanov, Polyakov 2002  
Vasiliev 1990, 1992, 2003  
Bekaert, Cnockaert, Iazeolla,  
Vasiliev, hep-th/0503128

Giombi, Klebanov 2013  
Giombi, Klebanov, Safdi 2014  
Giombi, Klebanov, Tseytlin 2014

Giombi, Klebanov, Pufu,  
Safdi, Tarnopolsky 2013  
Tseytlin 2013

Witten, hep-th/0112258  
Gubser, Klebanov 2003

A.B., Nesterov 2006  
PRD 73 066012 (2006)  
A.B. PRD74 084033 (2006)  
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# Plan

**Introduction**

**Double trace deformation of CFT and the AdS/CFT correspondence**

**Tree level: holographic duality and the induced boundary theory**

**Functional determinants relations**

**Example of non-AdS/CFT duality – CFT driven cosmology**

**Conclusions**

# Double trace deformation of CFT and the AdS/CFT correspondence

CFT operator

$$J(x) = \Phi^i(x)\Phi^i(x)$$

$$S_{CFT}(\Phi) \rightarrow S_{CFT}(\Phi) - \frac{1}{2f} \int dx J^2(x)$$

Generating functional

**source**

$$Z_{CFT}(\varphi) = \int d\Phi \exp \left( -S_{CFT}(\Phi) + \frac{1}{2} J(\Phi) f^{-1} J(\Phi) + \varphi J(\Phi) \right)$$

$$\frac{Z_{CFT}(\varphi)}{Z_{CFT}(0)} = \left\langle \exp \left( \frac{1}{2} \hat{J} f^{-1} \hat{J} + \varphi \hat{J} \right) \right\rangle_{CFT} \equiv \left\langle e^{\varphi \hat{J}} \right\rangle_{CFT}^f$$

Condensed DeWitt notations:

$$\begin{aligned} \hat{J} f^{-1} \hat{J} &= \int dx dy \hat{J}(x) f^{-1}(x, y) \hat{J}(y) \\ \varphi \hat{J} &= \int dx \varphi(x) \hat{J}(x) \end{aligned}$$

average in deformed CFT

## “Hubbard-Stratonovich” transform:

$$\left\langle e^{\varphi \hat{J}} \right\rangle_{CFT}^f = (\det f)^{1/2} \int d\phi \left\langle \exp \left( -\frac{1}{2} \phi f \phi + (\phi + \varphi) \hat{J} \right) \right\rangle_{CFT}$$

functional determinant in CFT

## 1/N-expansion:

$$\begin{aligned} \left\langle e^{\varphi \hat{J}} \right\rangle_{CFT} &= 1 + \frac{1}{2} \varphi \left\langle \hat{J} \hat{J} \right\rangle_{CFT} \varphi + \dots \\ &\simeq \exp \left( \frac{1}{2} \varphi \left\langle \hat{J} \hat{J} \right\rangle \varphi \right) \equiv \exp \left( -\frac{1}{2} \varphi \mathbf{F} \varphi \right), \end{aligned}$$

$\langle \hat{J} \rangle = 0$   
 $\langle \hat{J} \hat{J} \dots \hat{J} \rangle \ll 1, N \rightarrow \infty$

$$\left\langle \hat{J}(x) \hat{J}(y) \right\rangle = -\mathbf{F}(x, y) \sim \frac{1}{|x - y|^{2\Delta}} \sim \frac{1}{k^{d-2\Delta}}$$

**Δ – dimension of J**

$$\left\langle e^{\varphi \hat{J}} \right\rangle_{CFT}^f = (\det f)^{1/2} (\det \mathbf{F}_f)^{-1/2} \exp \left( -\frac{1}{2} \varphi \frac{1}{\mathbf{F}^{-1} + \mathbf{f}^{-1}} \varphi \right)$$

$$\mathbf{F}_f \equiv \mathbf{F} + \mathbf{f}$$

**Gubser, Klebanov 2003**

## RG flow: UV → IR

$$\langle \hat{J}(x) \hat{J}(y) \rangle_{CFT}^f = -\frac{1}{F^{-1} + f^{-1}} \rightarrow \begin{cases} -F + \dots, & f^{-1}F \ll 1 \\ -f + f(f^{-1}F)^{-1} + \dots, & f^{-1}F \gg 1 \end{cases}$$

$$\sim \begin{cases} \frac{1}{|x-y|^{2\Delta}} \sim \frac{1}{k^{d-2\Delta}}, & |x-y| \rightarrow 0 \quad \text{UV, } \Delta \\ \frac{1}{|x-y|^{2(d-\Delta)}} \sim \frac{1}{k^{2\Delta-d}}, & |x-y| \rightarrow \infty \quad \text{IR, d-}\Delta \end{cases}$$

# Dual description of higher spin fields: from conserved currents to dual gauge fields

$$J = J_{\mu_1 \dots \mu_s}(x) \sim \Phi^i(x) \partial_{\mu_1} \dots \partial_{\mu_s} \Phi^i(x) \rightarrow \varphi = \varphi^{\mu_1 \dots \mu_s}(x)$$

Klebanov, Polyakov 2002  
Sezgin, Sundell 2005  
Giombi, Yin 2010  
Maldacena, Zhiboedov 2013  
Didenko, Skvortsov 2013  
Gelfond, Vasiliev 2013

$$AdS_{d+1} : X^A = X^1, \dots X^{d+1}, \quad \Phi = \Phi^{A_1 \dots A_s}(X)$$

$$S_{d+1}[\Phi] = \frac{1}{2} \int_{AdS} d^{d+1}X \Phi \overset{\leftrightarrow}{F} \Phi$$

bilinear in  $r \mathbb{C}$

$$\partial(AdS_{d+1}) = R^d, S^d, S^1 \times S^{d-1}, \quad X = e(x), \quad x \equiv x^\mu = x^1, \dots x^d$$

$$\Phi | \equiv \Phi^{\mu_1 \dots \mu_s}(e(x)) = \varphi^{\mu_1 \dots \mu_s}(x)$$

**AdS/CFT correspondence  
(undeformed CFT):**

$$\left\langle e^{\varphi \hat{J}} \right\rangle_{CFT} = \frac{\int D\Phi e^{-S_{d+1}[\Phi]} \delta^{\Phi| = \varphi}}{\int D\Phi e^{-S_{d+1}[\Phi]} \delta^{\Phi| = 0}}$$

$$\left\langle e^{\varphi \hat{J}} \right\rangle_{CFT}^f = (\det f)^{1/2} \int d\phi e^{-\frac{1}{2}\phi} f \phi \left\langle e^{(\phi+\varphi) \hat{J}} \right\rangle_{CFT}$$

$$= (\det f)^{1/2} \frac{\int_{\text{all } \Phi} D\Phi e^{-S[\Phi]}}{\int_{\Phi| = 0} D\Phi e^{-S_{d+1}[\Phi]}}$$

shift of integration  
variable and  
integral over all  $\Phi$

**Total action**     $S[\Phi] \equiv S_{d+1}[\Phi] + \frac{1}{2} (\Phi| - \varphi) f (\Phi| - \varphi)$

$$= \frac{1}{2} \int_{AdS} d^{d+1}X \Phi(X) \overleftrightarrow{F}(\nabla) \Phi(X)$$

**AdS bulk part**

$$+ \frac{1}{2} \int_{\partial(AdS)} d^d x (\Phi|(x) - \varphi(x)) f (\Phi|(x) - \varphi(x))$$

**boundary part**

## Wronskian relation and Wronskian operator $W(r)$ :

$$\int_M d^{d+1}X \left( \Phi_1 \vec{F}(\nabla) \Phi_2 - \Phi_1 \overleftarrow{F}(\nabla) \Phi_2 \right) = - \int_{\partial M} d^d x \left( \Phi_1 \vec{W} \Phi_2 - \Phi_1 \overleftarrow{W} \Phi_2 \right),$$

$$\int_M d^{d+1}X \Phi_1 \overleftrightarrow{F} \Phi_2 = \int_M d^{d+1}X \Phi_1 (\vec{F} \Phi_2) + \int_{\partial M} d^d x \Phi_1 \vec{W} \Phi_2 \Big|$$

**bilinear in  $r\mathbb{C}$**

## Saddle point of $S[\Phi]$ :

$$\delta S[\Phi] = \int_{AdS_{d+1}} d^{d+1}X \delta \Phi (\vec{F} \Phi) + \int_{M_d} d^d x \delta \Phi \left( (\vec{W} + \mathbf{f}) \Phi | - \mathbf{f} \varphi \right) = 0$$

$$F(\nabla) \Phi_f(X) = 0,$$

$$(\vec{W}(\nabla) + \mathbf{f}) \Phi_f | = \mathbf{f} \varphi$$

generalized (inhomogeneous) Neumann boundary conditions

depend on  $f$

**Neumann Green's function:**

$$F(\nabla) G_{N_f}(X, Y) = \delta(X, Y),$$

$$(\vec{W} + \mathbf{f}) G_{N_f}(X, Y) \Big|_{X \in \partial M_{d+1}} = 0$$

**Solution:**

$$\Phi_f(X) = \int_b dy G_{N_f}(X, y) \mathbf{f} \varphi(y) \equiv G_{N_f} | \mathbf{f} \varphi$$

**On-shell action:**

$$S[\Phi_f] = \frac{1}{2} \varphi [\mathbf{f} - \mathbf{f} G_{N_f} | \mathbf{f}] \varphi$$

**Boundary-to-boundary propagator:**

$$G_{N_f}(x, y) \equiv G_{N_f}(X, Y) |_{X=e(x), Y=e(y)} \equiv G_{N_f} |$$

Condensed notations

Preexponential factors – functional determinants in the bulk subject to Neumann and Dirichlet b.c.:

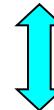
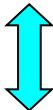
$$\int_{\text{all } \Phi} D\Phi \exp \left( -S[\Phi] - \frac{1}{2} (\Phi| - \varphi) \mathbf{f} (\Phi| - \varphi) \right)$$

$$= (\text{Det}_{N_f} F)^{-1/2} \exp \left( -\frac{1}{2} \varphi [\mathbf{f} - \mathbf{f} G_{N_f} | \mathbf{f}] \varphi \right)$$

$$\int_{\Phi| = 0} D\Phi e^{-S_{d+1}[\Phi]} = (\text{Det}_D F)^{-1/2}$$

## Comparison of AdS/CFT result with double-trace deformation:

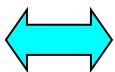
$$\left\langle e^{\varphi \hat{J}} \right\rangle_{CFT}^f = (\det f)^{1/2} \left( \frac{\text{Det}_{N_f} F}{\text{Det}_D F} \right)^{-1/2} \exp \left( -\frac{1}{2} \varphi [f - f G_{N_f} | | f] \varphi \right)$$



$$\left\langle e^{\varphi \hat{J}} \right\rangle_{CFT}^f = (\det f)^{1/2} (\det F_f)^{-1/2} \exp \left( -\frac{1}{2} \varphi \frac{1}{F^{-1} + f^{-1}} \varphi \right)$$

$$F_f \equiv F + f$$

**AdS/CFT duality**



$$G_{N_f} | | = F_f^{-1},$$

$$\text{Det}_{N_f} F = \det F_f \text{ Det}_D F$$

# Tree level: holographic duality and the induced boundary theory

tree level:

$$\left\langle e^{\varphi \hat{J}} \right\rangle_{CFT} = \frac{e^{-S_{d+1}[\Phi_D(\varphi)]}}{e^{-S_{d+1}[\Phi_D(0)]}}$$

$$F(\nabla) \phi_D(X) = 0, \quad \phi_D| = \varphi(x)$$

$$\Phi_D(X) = -G_D \overset{\leftarrow}{W}| \varphi$$

Dirichlet Green's function

“Witten’s calculation”:

$$\begin{aligned} S_{d+1}[\Phi_D] &= \frac{1}{2} \int_{AdS} dX \Phi_D \overset{\leftrightarrow}{F}(\nabla) \Phi_D \\ &= \int d^{d+1} X \Phi_D (\vec{F} \Phi_D) \stackrel{=0}{=} + \int d^d x \Phi_D \overset{\rightarrow}{W} \Phi_D \\ &= \frac{1}{2} \varphi \left[ - \overset{\rightarrow}{W} G_D \overset{\leftarrow}{W} || \right] \varphi = \frac{1}{2} \varphi \mathbf{F} \varphi \end{aligned}$$

inverse propagator of induced boundary theory

$$\mathbf{F} = - \overset{\rightarrow}{W} G_D \overset{\leftarrow}{W} ||$$

$$\left\langle e^{\varphi \hat{J}} \right\rangle_{CFT} = \exp \left( -\frac{1}{2} \varphi \mathbf{F} \varphi \right)$$

# Functional determinants relations

$$Z = \int_{\text{all}} D\Phi \exp(-S[\Phi])$$

$$Z(\varphi) = \int_{\Phi|=\varphi} D\Phi \exp(-S[\Phi])$$

$$Z = \int d\varphi Z(\varphi)$$

$$S[\Phi] = \frac{1}{2} \int_{M_{d+1}} dX \Phi(X) \overset{\leftrightarrow}{F}(\nabla) \Phi(X) + \int_{M_d} dx \left( \frac{1}{2} \varphi(x) f(\partial) \varphi(x) + j(x) \varphi(x) \right),$$

$$\Phi \Big| \equiv \Phi(X) \Big|_{\partial M_{d+1}} = \Phi(e(x)) = \varphi(x), \quad M_d = \partial M_{d+1}$$

$$F(\nabla) \phi_f(X) = 0$$

$$(\vec{W} + f) \phi_f \Big| + j(x) = 0$$

$$Z = (\text{Det}_{N_f} F)^{-1/2} \exp(-S[\Phi_f])$$

$$= (\text{Det}_{N_f} F)^{-1/2} \exp\left(\frac{1}{2} j G_{N_f} \parallel j\right)$$

$$Z(\varphi) = (\text{Det}_D F)^{-1/2} \exp(-S[\Phi_D])$$

$$= (\text{Det}_D F)^{-1/2} \exp\left(-\frac{1}{2} \varphi F_f \varphi - j \varphi\right)$$

$$Z = \int d\varphi Z(\varphi)$$

$$= (\text{Det}_D F)^{-1/2} (\det F_f)^{-1/2} \exp\left(\frac{1}{2} j F_f^{-1} j\right)$$

again  
Gaussian

**“Witten’s calculation”  
+ boundary term:**

$$F_f = -\vec{W} G_D \overset{\leftarrow}{W} \parallel + f$$

# Generic manifold with a boundary, generic second order operator:

$$F(\nabla) G_{N_f}(X, Y) = \delta(X, Y),$$

$$(\vec{W} + f) G_{N_f}(X, Y) \Big|_{X \in \partial M_{d+1}} = 0$$

$$F(\nabla) G_D(X, Y) = \delta(X, Y)$$

$$G_D(X, Y) \Big|_{X \in \partial M_{d+1}} = 0$$

A.B., Nesterov 2006  
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$$G_{N_f} || = F_f^{-1} \equiv \left[ -\vec{W} G_D \overset{\leftarrow}{\vec{W}} || + f \right]^{-1}$$

$$\text{Det}_{N_f} F = \det F_f \text{ Det}_D F.$$

**Particular case of large  $N$   
 double trace deformed  
 CFT on AdS spacetime –  
 RG flow from IR ( $f=1$ ) to  
 UV ( $f=0$ ) fixed points:**

$$\frac{\text{Det}_{N_{f_1}} F}{\text{Det}_{N_{f_2}} F} = \frac{\det F_{f_1}}{\det F_{f_2}} \equiv \frac{\det(1 + f_1^{-1} F)}{\det(1 + f_2^{-1} F)}$$

$$f = f \delta(x, y), \quad \det f = 1$$

Diaz, Dorn 2007  
 Hartman, Rastelli 2008

# Extension to gauge theories

**Bulk and brane gauge invariances:**

$$\begin{aligned}\Phi &\rightarrow \Phi^{\Xi} = \Phi + \Delta^{\Xi}\Phi, \quad \varphi \rightarrow \varphi^{\xi} = \varphi + \Delta^{\xi}\varphi, \quad \Xi^{\parallel} = \xi, \\ \Delta^{\Xi}\Phi^{A_1\dots A_s}(X) &= \nabla^{(A_1}\Xi^{A_2\dots A_s)}(X), \\ \Delta^{\xi}\varphi^{\mu_1\dots\mu_s}(x) &= D^{(\mu_1}\xi^{\mu_2\dots\mu_s)}\end{aligned}$$

**Bulk and brane gauge conditions and Faddeev-Popov operators:**

$$\begin{aligned}H(\Phi) &= H^{A_1\dots A_{s-1}}(X) \sim \nabla_B \Phi^{BA_1\dots A_{s-1}}(X) = 0, \\ \Delta^{\Xi}H(\Phi) &= Q\Xi \\ h(\varphi) &= h^{\mu_1\dots\mu_{s-1}}(x) \sim D_{\nu}\varphi^{\nu\mu_1\dots\mu_{s-1}}(x) = 0, \\ \Delta^{\xi}h(\varphi) &= Q\xi\end{aligned}$$

**Analogous relation for ghost determinants:**

$$\text{Det}_N Q = \det Q \text{ Det}_D Q$$

A.B. PRD74 084033 (2006)

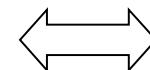
# Example of non-AdS/CFT duality – CFT driven cosmology

The analogue of the thermal AdS/CFT correspondence:  
duality of the 4D finite temperature boundary CFT to 5D  
black hole thermodynamics in AdS with a boundary

Witten (1998)

VS

4D CFT cosmology: Einstein theory  
sourced by quantum conformal matter  
at finite temperature



Brane induced gravity in 5D  
Schwarzschild-deSitter bulk  
(5D black hole in  $dS_5$ )

$G_4, \Lambda_4, \mathcal{C}$   
primordial 4D  
cosmological  
constant

4D radiation is imitated by  
the BH mass  $\mathcal{C} \sim G_5 M = R_S^2$

$G_5, \Lambda_5, R_S$   
Schwarzschild  
radius of bulk BH

But (!):

No SUSY  
De Sitter,  $\Lambda_5 > 0$

No AdS, no group-theoretical arguments

# Duality of 4D CFT driven cosmology and 5D brane induced gravity

4D side

$$S_E[g_{\mu\nu}, \phi] = -\frac{1}{16\pi G} \int d^4x g^{1/2} \left( R - 2\Lambda \right) + S_{CFT}[g_{\mu\nu}, \phi]$$

$\Lambda = \Lambda_4$  -- 4D CC

$N_s \lambda$  1 conformal fields of spin  $s=0,1,1/2$

5D side

$$\begin{aligned} S[G_{AB}(X)] = & -\frac{1}{16\pi G_5} \int_{\text{Bulk}} d^5X G^{1/2} \left( R^{(5)}(G_{AB}) - 2\Lambda_5 \right) \\ & - \int_{\text{brane}} d^4x g^{1/2} \left( \frac{1}{8\pi G_5} [K] + \frac{1}{16\pi G_4} R(g_{\mu\nu}) \right). \end{aligned}$$

5D Schwarzschild-dS solution with a bulk black hole of mass  $\gg R_s^2/G_5$

$$ds_{(5)}^2 = f(R) dT^2 + \frac{dR^2}{f(R)} + R^2 d\Omega_{(3)}^2$$

embedding

$$f(R) = 1 - \frac{\Lambda_5}{6} R^2 - \frac{R_S^2}{R^2}$$



$$ds_{(4)}^2 = d\tau^2 + a^2(\tau) d\Omega_{(3)}^2$$

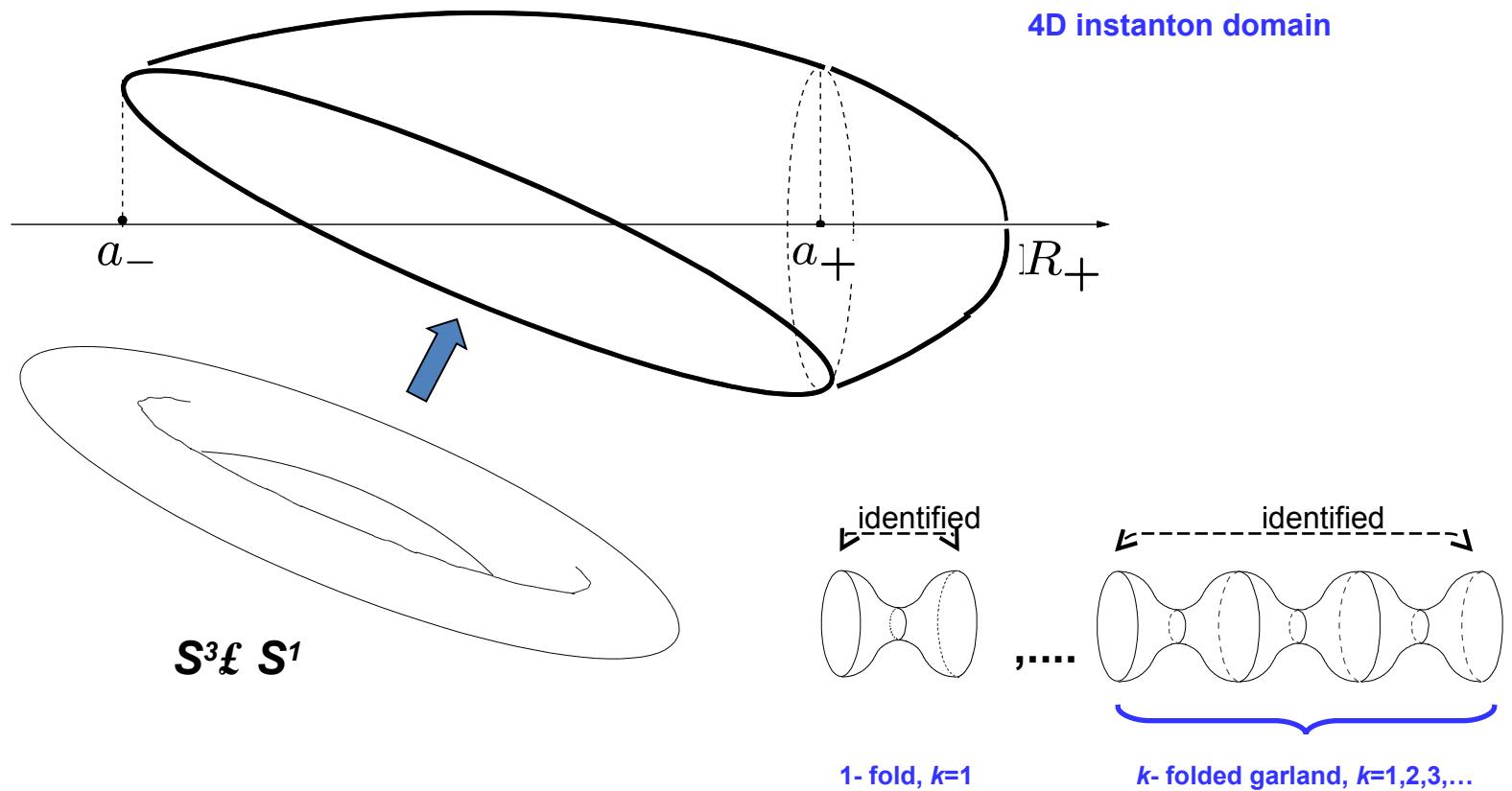
$S^1 \times S^3$   
topology

$$\begin{aligned} R &= a(\tau), \quad T = T(\tau), \\ T'(\tau) &= \frac{\sqrt{f(a) - a'^2}}{f(a)} \end{aligned}$$

**Euclidean Schwarzschild-dS “cigar” instanton:**  $f(R) \geq 0, R_- \leq R \leq R_+$

$$R_{\pm}^2 = \frac{3}{\Lambda_5} \left( 1 \pm \sqrt{1 - 2\Lambda_5 R_S^2/3} \right)$$

$$R_- < \underbrace{a_- \leq a(\tau) \leq a_+}_{\text{4D instanton domain}} < R_+$$

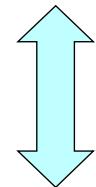


Equation of motion of the 4D spherical shell  
in 5D Schwarzschild-de Sitter background  $R = a(\tau)$

**5D side**

$$r_c^2 \left( \frac{1}{a^2} - \frac{\dot{a}^2}{a^2} \right)^2 = \frac{1}{a^2} - \frac{\dot{a}^2}{a^2} - \frac{\Lambda_5}{6} - \frac{R_S^2}{a^4}$$

$$r_c = \frac{G_5}{2 G_4}$$



$$\left\{ \begin{array}{l} B = 2r_c^2, \quad \Lambda_4 = \frac{\Lambda_5}{2} \\ \mathcal{C} = R_S^2 \end{array} \right.$$

Quantum Friedmann equation for  
cosmological factor

**4D CFT  
cosmology  
side**

$$\frac{B}{2} \left( \frac{1}{a^2} - \frac{a'^2}{a^2} \right)^2 = \frac{1}{a^2} - \frac{a'^2}{a^2} - \frac{\Lambda_4}{3} - \frac{\mathcal{C}}{a^4}$$

$\mathcal{C} \gg$  amount of radiation  $\mathcal{C} = \sum_{\omega} \frac{\omega}{e^{\omega\eta} \pm 1}$

# Conclusions

**Tree-level AdS/CFT » kinematical relations**

**One-loop AdS/CFT » functional determinants relations**

**Beyond one-loop » similar identities with  $\sim \gg 1/N$  ?**

$$\int_{\text{all}} D\Phi e^{-NS[\Phi]} = \int d\varphi \int_{\Phi| = \varphi} D\Phi e^{-NS[\Phi]}$$

$$\begin{aligned} S[\Phi] &= \int_{M_{d+1}} d^{d+1}X \left( \frac{1}{2} S_{(2)} \Phi^2 + \frac{1}{3!} S_{(3)} \Phi^3 + \dots \right) \\ &\quad + \int_{M_d} d^d x \left( \frac{1}{2} f_{(2)} \varphi^2 + \frac{1}{3!} f_{(3)} \varphi^3 + \dots \right) \end{aligned}$$